# What we know about square roots of elliptic systems... and a bit more!

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#### 11th of December, 2024 - Langenbach-Seminar





### joint work (in part) with R. Brown (Lexington), M. Egert, R. Haller (Darmstadt), P. Tolksdorf (KIT)

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Examples for V:  $H_0^1(O)$  (Dirichlet BC),  $H^1(O)$  (natural BC),  $H_D^1(O)$  (mixed BC).

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*L* is sectorial of angle  $\omega \in [0, \pi)$ : still nice, but maybe no generator of semigroup.

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- $u \in D(L)$  (product rule, coefficients cancel out)
- $u' = A^{-1} = 1 \frac{1}{2} \mathbb{1}_{[0,1]}$  on  $[0,2] \implies u' \notin H^1(\mathbb{R})$ , no optimal elliptic regularity!

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Elliptic BVP over Lipschitz graph

### What is Kato's square root problem?

L sectorial operator  $\implies$  exists operator  $L^{\frac{1}{2}}$  such that  $L = L^{\frac{1}{2}}L^{\frac{1}{2}}$  (by functional calculus). Call  $L^{\frac{1}{2}}$  the square root of *L*.



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#### Conjecture

One has

$$D(L^{\frac{1}{2}}) = V \& ||L^{\frac{1}{2}}u||_{L^{2}(O)} \approx ||u||_{V}.$$

Implicit constants depend on ellipticity, dimensions and V only.

### Geometrical framework

### Let $O \subseteq \mathbb{R}^d$ open, $D \subseteq \partial O$ , $N = \partial O \setminus D$ .



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Let 
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Assumptions:

- O is locally uniform near N,
- O is Ahlfors–David regular.



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- O is Ahlfors–David regular.

In particular: no thickness, no boundedness, no connectedness required.



### Theorem (B., Egert, Haller-Dintelmann, Adv. Math.)

Let  $O \subseteq \mathbb{R}^d$  open,  $D \subseteq \partial O$ ,  $N = \partial O \setminus D$ . Assume D is Ahlfors–David regular and O is locally uniform near N. Then one has

$$D(L^{\frac{1}{2}}) = H_D^1(O) \quad \& \quad \|L^{\frac{1}{2}}u\|_{L^2(O)} \approx \|u\|_{H^1(O)}.$$

Implicit constants depend on ellipticity, dimensions and geometry only.



Reformulation of square root property:

$$L^{\frac{1}{2}}: W^{1,2}_D(O) \to L^2(O)$$
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## critical numbers

#### Define

$$I(L) = \{ p \in (1, \infty) : \{ (1 + t^2 L)^{-1} \}_{t>0} \text{ is } L^p \text{-bounded} \}, J(L) = \{ p \in (1, \infty) : \mathcal{L}_0 \text{ is } p \text{-isomorphism} \}.$$

Put

$$p_{-}(L) = \inf I(L),$$
  $p_{+}(L) = \sup I(L),$   
 $\tilde{q}_{-}(L) = \inf J(L),$   $\tilde{q}_{+}(L) = \sup J(L).$ 



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- $O = \mathbb{R}^d$ , Hölder coefficients  $\implies \tilde{q}_+(L) = \infty$ .
- In general:  $\tilde{q}_+(L) \leq 2 + \varepsilon$ .

# L<sup>p</sup>-theory for square roots

#### Theorem

Assume setting of  $L^2$ -result. Let  $p \in (p_-(L), \tilde{q}_+(L))$ . Then  $L^{\frac{1}{2}} \colon W_D^{1,p}(O) \to L^p(O)$  isomorphism.

The interval  $(p_{-}(L), \tilde{q}_{+}(L))$  is (essentially) optimal.



Trace estimate for non-autonomous problems

For  $f \in L^2([0, T]; L^2(O))$  consider

 $\partial_t u - div(A(t, \cdot)\nabla u) = f, \quad u(0) = u_0.$ 



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Important for applications to quasilinear problems with Neumann BC! (current project with T. Leeuwis and M. Veraar)

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Application to evolution equations with surface densities: need forcing term in distribution space  $W^{-1,p}$ , p > d.



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Condition p > d fulfilled for:

A real, <i>m</i> = 1	general complex A
any dimension <i>d</i>	<i>d</i> ≤ 4

I Lipschitz dependence of  $L^{\frac{1}{2}}$  on coefficients

Let  $L_A = -div(A\nabla)$ ,  $L_B = -div(B\nabla)$  (say with real coefficients). Does one have

$$\|L_{A}^{\frac{1}{2}}u - L_{B}^{\frac{1}{2}}u\|_{L^{2}(O)} \leq \|A - B\|_{\infty}\|u\|_{H^{1}_{D}(O)} ?$$



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But: Need complex structure (for analyticity) even for real statement!



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Recall condition p > d: general case with square root only when  $d \le 4$ .

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Yes, by direct extrapolation. Expect to get (at least)  $2 \le p < (p_{-}(L^*)')^*$ .

Recall condition p > d: general case with square root only when  $d \le 4$ . Now get  $d \le 6$ !

Bounded  $H^{\infty}$ -calculus over  $W^{-1,p}$  without square root.

Proof by transference: critical numbers (of  $L^*$ )  $\implies$  interval for  $H^{\infty}$ -calculus over  $W^{-1,p}$ .

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Current project with M. Egert and B. Kosmela (Darmstadt).

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  - L is a second-order differential operator.

However: proof for Kato uses auxiliary first-order operators: first-order approach, Axelsson–Keith-McIntosh (Invent. Math.).



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Student project with C. Hutcheson, T. Schmatzler, T. Tasci, and M. Wittig: recover  $L^2$ -main result with pure second-order proof.

Thank you for your attention!

A digital version of this presentation can be found here:



